

**STATE OF MINNESOTA  
CAMPAIGN FINANCE AND PUBLIC DISCLOSURE BOARD**

**CONCILIATION  
AGREEMENT**

In the matter of the Goggin (Michael) for Senate committee (17869);

Pursuant to Minnesota Statutes section 10A.28, subdivision 3, the Campaign Finance and Public Disclosure Board and Senator Michael Goggin (the candidate) agree as follows:

1. The Goggin (Michael) for Senate committee is the principal campaign committee of Senator Michael Goggin. On its 2017 year-end report of receipts and expenditures, the committee reported that it had accepted \$6,745 in total contributions from special sources. These sources include registered lobbyists, from whom the committee accepted \$425, and political committees or political funds, from which the committee accepted \$6,320. The total amount of these contributions exceeds the applicable limit on aggregate contributions from special sources during the 2017-2018 non-election segment, which for a state senate candidate is \$6,600. The \$145 that exceeded the limit was not returned within 90 days, and was therefore deemed accepted under Minnesota Statutes section 10A.15, subdivision 3.
2. In an email sent on February 2, 2018, the committee's treasurer stated that the committee had missed four contributions totaling \$425 that fell into the special source contribution category. The committee issued refund checks to these contributors on January 31, 2018. After the refund checks were issued, the Board made the annual adjustment to the aggregate special source limit and increased the total amount that senate committees could accept during the 2017-2018 non-election segment to \$6,600. Therefore, the committee was actually only \$145 over the applicable 2017-2018 aggregate special source limit.
3. Board records show that this is the first instance in which the committee reported acceptance of contributions that exceeded the special source limit. The committee registered with the Board on August 12, 2015.
4. The parties agree that the committee accepted excessive contributions from special sources in violation of Minnesota Statutes section 10A.27, subdivision 11, in the 2017-2018 non-election segment.
5. To resolve this matter informally, and to avoid these violations in the future, the candidate and the committee agree that they will:
  - a. Ensure that the committee's treasurer reviews and enters contributions into the Campaign Finance Reporter software within 60 days of receipt;
  - b. Run a batch compliance report after each time contributions are entered and take steps to remedy any violations the software identifies so that problems may be resolved within the 90 days that statutes provide for the return of a contribution before it is deemed accepted;
  - c. Update the Campaign Finance Reporter software whenever the software notifies the user that an update is available; and

d. Contact Board technical staff to report and receive assistance with any software problems.

6. The candidate agrees that the Board's acceptance of this agreement constitutes the imposition of a civil penalty in the amount of \$145 against the candidate for accepting contributions in excess of the limit imposed by Minnesota Statutes section 10A.27, subdivision 11. Of this penalty, \$40 is due within 30 days of the date the agreement is signed by both parties; and the remaining \$105 is, by the terms of this agreement, stayed until January 1, 2021. If the candidate violates Minnesota Statutes section 10A.27, subdivision 11, before January 1, 2021, the outstanding civil penalty is due immediately. If the candidate does not violate Minnesota Statutes section 10A.27, subdivision 11, within that timeframe, the outstanding civil penalty is waived.

7. If the candidate does not comply with the provisions of this agreement, this matter may be reopened by the Board and the Board may take such actions as it deems appropriate.

/s/ Michael Goggin  
Senator Michael Goggin  
Goggin (Michael) for Senate Committee

Dated: April 15, 2018

Agreement approved by Board at meeting of April 4, 2018.

/s/ Carolyn Flynn  
Carolyn Flynn, Chair  
Campaign Finance and Public Disclosure Board